# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM SD

SPECIALIZED DISCLOSURE REPORT



# **AMERICAN OUTDOOR BRANDS, INC.**

(Exact name of registrant as specified in its charter)

DELAWARE (State or other jurisdiction of incorporation or organization)

001-39366 (Commission File Number)

84-4630928 (IRS Employer Identification No.)

1800 North Route Z, Suite A Columbia, Missouri (Address of principal executive offices)

65202 (Zip code)

Douglas V. Brown, Chief Counsel and Secretary - (800) 338-9585 (Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

X Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2021.

## Section 1 Conflict Minerals Disclosure

# Item 1.01 Conflict Minerals Disclosure and Report

A copy of American Outdoor Brands, Inc. Conflict Minerals Report is filed as Exhibit 1.01 hereto and is publicly available at <a href="https://ir.aob.com/corporate-governance/conflict-minerals">https://ir.aob.com/corporate-governance/conflict-minerals</a>. The content of our website as referred to in this Form SD is included for general information only and is not incorporated by reference into this Form SD.

Item 1.02	Exhibits
Exhibit 1.01	Conflict Minerals Report
Section 2	Exhibits

Item 2.01 Exhibits

The following exhibit is filed as part of this report:

Exhibit 1.01 – Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.

\* \* \* \* \*

# SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

AMERICAN OUTDOOR BRANDS, INC., a Delaware corporation

By: /s/ Douglas V. Brown

Douglas V. Brown Chief Counsel and Corporate Secretary Dated:May 31, 2022

# AMERICAN OUTDOOR BRANDS, INC. CONFLICT MINERALS REPORT FOR THE REPORTING PERIOD JANUARY 1, 2021 TO DECEMBER 31, 2021

#### INTRODUCTION

This Conflict Minerals Report for American Outdoor Brands, Inc. ("AOB," "Company," "we," or "our") is provided for the reporting period January 1, 2021 to December 31, 2021, and is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934 (the "Rule"), and the Public Statement on the Effect of the Recent Court of Appeals Decision on the Conflict Minerals Rule issued by the Director of the Division of Corporation Finance of the Securities and Exchange Commission on April 29, 2014 (the "SEC Statement"). The Rule was adopted by the Securities and Exchange Commission (the "SEC") to implement reporting and disclosure requirements related to conflict minerals as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd-Frank Act"). The Rule imposes certain reporting obligations on SEC registrants whose manufactured products contain tin, tantalum, tungsten, or gold ("3TG," also defined by the Rule as "conflict minerals"), and who have reason to believe that the products they manufacture, or contract to manufacture, contain conflict minerals that are necessary to the functionality or production of those products. If the SEC registrant has reason to believe that any conflict minerals may have originated in the Democratic Republic of the Congo or an adjoining country, or is unable to determine the country of origin of those conflict minerals, the SEC registrant is required to submit a Conflict Minerals Report to the SEC that includes a description of the measures it took to exercise due diligence on the conflict minerals' source and chain of custody.

#### **COMPANY BACKGROUND**

AOB is a leading provider of outdoor products and accessories encompassing hunting, fishing, camping, shooting, and personal security and defense products for rugged outdoor enthusiasts. We conceive, design, produce or source, and sell products and accessories, including shooting supplies, rests, vaults, and other related accessories; lifestyle products such as premium sportsman knives and tools for fishing and hunting; land management tools for hunting preparedness; harvesting products for post-hunt or post-fishing activities; electro-optical devices, including hunting optics, firearm aiming devices, flashlights, and laser grips; reloading, gunsmithing, and firearm cleaning supplies; and survival, camping, and emergency preparedness products. Our owned brands include Caldwell<sup>®</sup>, Wheeler<sup>®</sup>, Tipton<sup>®</sup>, Frankford Arsenal<sup>®</sup>, LaserLyte<sup>®</sup>, Lockdown<sup>®</sup>, Hooyman<sup>®</sup>, BOG<sup>®</sup>, Crimson Trace<sup>®</sup>, Imperial<sup>®</sup>, Schrade<sup>®</sup>, Old Timer<sup>®</sup>, Uncle Henry<sup>®</sup>, BUBBA<sup>®</sup>, MEAT!<sup>™</sup>, and ust<sup>®</sup>, and we license for use in association with certain products we sell additional brands, including Smith & Wesson<sup>®</sup> Accessories, m&P<sup>®</sup> Accessories, and Thompson/Center<sup>™</sup> Arms.

A copy of the Company's Conflict Mineral Policy is available on our website at <u>https://ir.aob.com/corporate-governance/conflict-minerals</u>.

#### REPORT

This Conflict Minerals Report is provided for the reporting period January 1, 2021 to December 31, 2021 in accordance with the Rule, the instructions to Form SD, and the SEC Statement. Please refer to the Rule, Form SD and Securities Exchange Release No. 34-67716 for definitions to the terms used in this Report, unless otherwise defined herein.

In accordance with the Rule and the instructions to Form SD, AOB undertook due diligence to determine the source of origin of any necessary conflict minerals used in products it manufactures or contracts to manufacture. In conducting its due diligence, AOB implemented the Organization for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (including the Supplements on Tin, Tantalum and Tungsten, and the Gold Supplement) (Third Edition OECD 2016) ("OECD Guidance"), an internationally recognized due diligence framework.

#### Design of Our Due Diligence Measures

Our conflict minerals due diligence measures have been designed to conform with the OECD Guidance, as applicable, for tin, tantalum, tungsten, gold and downstream companies (as the term is defined in the OECD Guidance), in all material respects. The Company does not make direct purchases of raw ore or unrefined conflict minerals in the Covered Countries. We designed our due diligence measures to:

- 1. design and implement strategies to determine the presence of conflict minerals in our products;
- 2. establish management systems for supply chain due diligence and reporting compliance;
- 3. identify and assess conflict minerals content in our supply chain;
- 4. identify and mitigate any risk for potential non-compliance with the Rule; and
- 5. report on our conflict minerals supply chain due diligence activities, as required by the Rule.

#### **Due Diligence Measures Performed**

Our due diligence measures for the reporting period January 1, 2021 to December 31, 2021 included the following activities:

- 1. We updated our product taxonomy for the products that were subject to reporting in the 2021 reporting period, and reviewed additions with company product managers, engineers, metallurgists and supply chain personnel, to determine which products or components have the possibility of containing necessary conflict minerals.
- 2. We surveyed any suppliers involved with products or components we determined could possibly contain necessary conflict minerals, to ascertain for each of these conflict minerals (i) whether the product or component contained necessary conflict minerals, (ii) the smelter or refiner where it was processed, (iii) its country of origin, and (iv) its mine of origin.
- 3. We leveraged the due diligence conducted on smelters and refiners by the Responsible Minerals Initiative (the "**RMI**"), and its Responsible Minerals Assurance Process (the "**RMAP**"), by comparing the names of the smelters identified by our suppliers to those identified as compliant in the RMAP. The RMAP uses independent private sector auditors to audit the source, including mines of origin, and chain of custody of the conflict minerals used by smelters and refiners that agree to participate in the RMAP. The smelters and refiners that are found to be RMAP compliant are those for which the independent auditor has verified that the smelter's or refiner's conflict minerals originated from conflict free mines and trading in the Democratic Republic of the Congo or any of its adjoining countries. A list of smelters identified to date, and associated information, is provided hereto as **Attachment A**.

#### Determination: 2022 Risk Mitigation

After conducting good faith due diligence on the presence of necessary conflict minerals in certain of the Company's products, AOB has been unable to identify all smelters, refiners in its supply chain and the ultimate source of origin of such minerals. The Company is continuing its efforts to identify and mitigate risks in its supply chain and to obtain complete information from its upstream suppliers. The Company's efforts are necessarily dependent upon the willingness of its supply chain participants to cooperate and assist in this endeavour and there can be no guarantee that the Company will be able to obtain adequate and reliable information upon which to base its analysis.

### Attachment A

# SMELTER DETAILS

Smelter Name	Smelter Country	<u>3TG</u>
A.L.M.T. Corp.	Japan	Tungsten
Agosi AG	Germany	Gold
Aida Chemical Industries Co., Ltd.	Japan	Gold
Alpha	United States of America	Tin
AMG Brasil	Brazil	Tantalum
AngloGold Ashanti Corrego do Sitio Mineracao	Brazil	Gold
Argor-Heraeus S.A.	Switzerland	Gold
Asahi Pretec Corp.		Gold
Asahi Refining Canada Ltd.	Japan Canada	Gold
Asahi Refining USA Inc.	United States of America	Gold
Asaka Riken Co., Ltd.		Gold
Aurubis AG	Japan	Gold
	Germany	
Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Philippines	Gold
Boliden AB	Sweden	Gold
C. Hafner GmbH + Co. KG	Germany	Gold
CCR Refinery - Glencore Canada Corporation	Canada	Gold Tantalum
Changsha South Tantalum Niobium Co., Ltd.	China	
Chenzhou Diamond Tungsten Products Co., Ltd.	China	Tungsten
Chimet S.p.A.	Italy	Gold
China Tin Group Co., Ltd.	China	Tin
Chongyi Zhangyuan Tungsten Co., Ltd.	China	Tungsten
CV Venus Inti Perkasa	Indonesia	Tin
D Block Metals, LLC	United States of America	Tantalum
Dowa	Japan	Tin
Dowa	Japan	Gold
Eco-System Recycling Co., Ltd. East Plant	Japan	Gold
EM Vinto	Bolivia (Plurinational State of)	Tin
Exotech Inc.	United States of America	Tantalum
F&X Electro-Materials Ltd.	China	Tantalum
Fenix Metals	Poland	Tin
Ganzhou Huaxing Tungsten Products Co., Ltd.	China	Tungsten
Ganzhou Seadragon W & Mo Co., Ltd.	China	Tungsten
Gejiu Kai Meng Industry and Trade LLC	China	Tin
Gejiu Non-Ferrous Metal Processing Co., Ltd.	China	Tin
Gejiu Zili Mining And Metallurgy Co., Ltd.	China	Tin
Global Advanced Metals Aizu	Japan	Tantalum
Global Advanced Metals Boyertown	United States of America	Tantalum
Global Tungsten & Powders Corp.	United States of America	Tungsten
Gold Refinery of Zijin Mining Group Co., Ltd.	China	Gold
Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	China	Tin
Guangdong Xianglu Tungsten Co., Ltd.	China	Tungsten
H.C. Starck Hermsdorf GmbH	Germany	Tantalum
H.C. Starck Inc.	United States of America	Tantalum
H.C. Starck Tungsten GmbH	Germany	Tungsten
Heimerle + Meule GmbH	Germany	Gold
Hengyang King Xing Lifeng New Materials Co., Ltd.	China	Tantalum
Heraeus Germany GmbH Co. KG	Germany	Gold
Heraeus Metals Hong Kong Ltd.	China	Gold
Hunan Chunchang Nonferrous Metals Co., Ltd.	China	Tungsten
Hydrometallurg, JSC	<b>Russian Federation</b>	Tungsten
Ishifuku Metal Industry Co., Ltd.	Japan	Gold
Istanbul Gold Refinery	Turkey	Gold
Japan New Metals Co., Ltd.	Japan	Tungsten
Jiangwu H.C. Starck Tungsten Products Co., Ltd.	China	Tungsten
Jiangxi Copper Co., Ltd.	China	Gold
JiuJiang JinXin Nonferrous Metals Co., Ltd.	China	Tantalum

Jiujiang Tanbre Co., Ltd. JX Nippon Mining & Metals Co., Ltd. Kennametal Huntsville Kennecott Utah Copper LLC Kojima Chemicals Co., Ltd. LS-NIKKO Copper Inc. Magnu's Minerais Metais e Ligas Ltda. Malaysia Smelting Corporation (MSC) Masan High-Tech Materials Materion Matsuda Sangyo Co., Ltd. Melt Metais e Ligas S.A. Metallic Resources, Inc. Metallo Belgium N.V. Metallo Spain S.L.U. Metalor Technologies (Hong Kong) Ltd. Metalor Technologies (Singapore) Pte., Ltd. Metalor Technologies S.A. Metalor USA Refining Corporation Metalurgica Met-Mex Penoles S.A. De C.V. Mineracao Taboca S.A. Mineracao Taboca S.A. Minsur Mitsubishi Materials Corporation Mitsubishi Materials Corporation Mitsui Mining and Smelting Co., Ltd. Mitsui Mining and Smelting Co., Ltd. Nadir Metal Rafineri San. Ve Tic. A.S. Niagara Refining LLC Nihon Material Co., Ltd. Ningxia Orient Tantalum Industry Co., Ltd. O.M. Manufacturing (Thailand) Co., Ltd. O.M. Manufacturing Philippines, Inc. Ohura Precious Metal Industry Co., Ltd. Operaciones Metalurgicas S.A. PAMP S.A. PT Aneka Tambang (Persero) Tbk PT Aries Kencana Sejahtera PT Artha Cipta Langgeng PT ATD Makmur Mandiri Jaya PT Babel Inti Perkasa PT Belitung Industri Sejahtera PT Bukit Timah PT Mitra Stania Prima PT Panca Mega Persada PT Prima Timah Utama PT Refined Bangka Tin PT Sariwiguna Binasentosa PT Stanindo Inti Perkasa PT Sukses Inti Makmur PT Timah Tbk Kundur PT Timah Tbk Mentok PT Tinindo Inter Nusa PX Precinox S.A. Rand Refinery (Pty) Ltd. Resind Industria e Comercio Ltda. Royal Canadian Mint Rui Da Hung SEMPSA Joyeria Plateria S.A. Shandong Gold Smelting Co., Ltd. Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Smelter not listed

China	Tantalum
Japan	Gold
United States of America	Tungsten
United States of America	Gold
Japan	Gold
Republic of Korea	Gold
Brazil	Tin
Malaysia	Tin
Viet Nam	Tungsten
United States of America	Gold
Japan	Gold
Brazil	Tin
United States of America	Tin
Belgium	Tin
Spain China	Tin Gold
Singapore	Gold
Switzerland	Gold
United States of America	Gold
Mexico	Gold
Brazil	Tantalum
Brazil	Tin
PERU	Tin
Japan	Gold
Japan	Tin
Japan	Gold
Japan	Tantalum
Turkey	Gold
United States of America	Tungsten
Japan	Gold
China	Tantalum
Thailand	Tin
Philippines	Tin
Japan	Gold
Bolivia (Plurinational State of)	Tin
Switzerland	Gold
Indonesia	Gold
Indonesia	Tin
Indonesia Indonesia	Tin Tin
Indonesia	Tin
Switzerland	Gold
South Africa	Gold
Brazil	Tin
Canada	Gold
Taiwan, Province of China	Tin
Spain	Gold
China	Gold
China	Gold
China	Tantalum

SOE Shyolkovsky Factory of Secondary Precious Metals	<b>Russian Federation</b>	Gold
Soft Metais Ltda.	Brazil	Tin
Solar Applied Materials Technology Corp.	Taiwan, Province of China	Gold
Solikamsk Magnesium Works OAO	Russian Federation	Tantalum
Sumitomo Metal Mining Co., Ltd.	Japan	Gold
Taki Chemical Co., Ltd.	Japan	Tantalum
Tanaka Kikinzoku Kogyo K.K.	Japan	Gold
TANIOBIS Co., Ltd.	Thailand	Tantalum
TANIOBIS GmbH	Germany	Tantalum
TANIOBIS Japan Co., Ltd.	Japan	Tantalum
TANIOBIS Smelting GmbH & Co. KG	Germany	Tantalum
TANIOBIS Smelting GmbH & Co. KG	Germany	Tungsten
Telex Metals	United States of America	Tantalum
Thaisarco	Thailand	Tin
Tin Smelting Branch of Yunnan Tin Co., Ltd.	China	Tin
Tokuriki Honten Co., Ltd.	Japan	Gold
Ulba Metallurgical Plant JSC	Kazakhstan	Tantalum
Umicore Precious Metals Thailand	Thailand	Gold
Umicore S.A. Business Unit Precious Metals Refining	Belgium	Gold
United Precious Metal Refining, Inc.	United States of America	Gold
Valcambi S.A.	Switzerland	Gold
Western Australian Mint (T/a The Perth Mint)	Australia	Gold
White Solder Metalurgia e Mineracao Ltda.	Brazil	Tin
Wolfram Bergbau und Hutten AG	Austria	Tungsten
Xiamen Tungsten (H.C.) Co., Ltd.	China	Tungsten
Xiamen Tungsten Co., Ltd.	China	Tungsten
XIMEI RESOURCES (GUANGDONG) LIMITED	China	Tantalum
Yamakin Co., Ltd.	Japan	Gold
Yokohama Metal Co., Ltd.	Japan	Gold
Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	China	Tin
Zhongyuan Gold Smelter of Zhongjin Gold Corporation	China	Gold